Fairness in job recommendations: Estimating, explaining, and reducing gender gaps

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Motivations

- ► Recommender systems (RS) help users find relevant items in large datasets, leveraging past interactions
- Job recommendation is a key application domain of AI for Good
 - ▶ Role of imperfect information in unemployment Belot et al., 2019
 - ▶ Highly consequential: jobs determine livelihoods and social positions
- But algorithms trained on real-world data also learn job seekers' and recruiters' biases
 - ► AI in HR: high-risk according to EU AI Act

This work

- Audit of a job RS wrt gender biases
 - Context: partnership with the French Public Employment Service
 - Hybrid RS leveraging rich contextual data on job ads and job seekers
 - Trained on hires
- Goals:
 - Discuss relevant gender gap measures for job recommendation
 - Assess gender gaps in terms of:
 - Performance (recall)
 - ▶ Recommended job characteristics: wage, contract, working hours . . .
 - Assess whether the algorithm reproduces / increases disparities present in hiring and application behavior
 - Assess a gender-blind (adversarial) recommender system
 - Cost of neutrality in terms of recall?

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Related work: Fairness in Recommender Systems

- ► Surveys: Ekstrand et al., 2022; Wang et al., 2022
- ► Fairness: w.r.t. users (our focus), or items (distribution of exposure), or both
- User fairness:
 - Are recommendations equally relevant for different groups? Mehrotra et al., 2017; Ekstrand et al., 2018
 - ► Trade-offs between recommendation performance and other concerns e.g. gender wage gap Rus et al., 2022
 - Causal use of protected variable

Kusner et al., 2017

Link with audit studies in economics

Zhang et al., 2022

- ▶ Algorithmic bias mitigation: pre / in / post-processing
 - Adversarial in-processing approaches

Edwards et al. 2015, Islam et al. 2022, Rus et al. 2022

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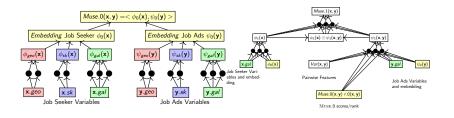
Adversarial debiasing

Context: Data

- ▶ Scope: Auvergne-Rhône-Alpes region (France); 2019-mid 2022
- ▶ Dataset size: 1.2M job seekers, 2.2M job ads, 285k hires
- ▶ Job seeker and job ad characteristics: both represented in dimension ~ 500
 - Include: labor market profile, preferences, background, text vs. wage, labor conditions, required qualifications, text
- Gender (binary) is available but not used as input
- ▶ Train-test split: 85% / 15% on a weekly basis

Context: Algorithm

- ▶ Goal: rank job ads y for some job seeker x
- ► Training labels: hires
- ► Two-tiered neural network architecture: Bied et al., IJCAI 2023
 - Embedding-based first tier (bottom left), designed for scalability, selects 1,000 job ads for each job seeker
 - Second tier (bottom right) re-ranks those using more expressive model / features



First tier

Second tier

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Methodology (1): Overview

- ▶ Is recommendation performance different for men and women?
 - Measure: recall@k, i.e. share of test job seekers s.t. their future hire is in the top k recommendations
- Are different job ads shown to women and men? In terms of:
 - Wage, distance, executive status, contract type, working hours, male-dominated occupation
 - ► Fit between to job seeker's search criteria (average fit w.r.t. distance / occupation / wage / contract / working hours)

Methodology (2): Gender Gaps

- ▶ Gender G (=1 if woman)
- ▶ *Y*: characteristic, e.g. wage, of algorithm's top-1 recommendation
- ► Naive average recommended quantities:

$$\delta = \mathbb{E}[Y|G=1] - \mathbb{E}[Y|G=0]$$

▶ But: is it reasonable to expect from a "fair" algorithm to disregard job seeker preferences and qualifications?

Methodology (3): Gender Gaps

- X: job seeker characteristics used as input
- Let $Z \subset X$ correspond to "job search fundamentals", which include:
 - Preferences: desired wage, contract type, occupation, accepted mobility
 - Qualifications: education, skills, experience
- Under certain conditions, average difference between genders can be decomposed as (Oaxaca):
 - 1. An effect explained by job search fundamentals Z
 - 2. And a residual au which can not be explained by Z
 - ▶ Main condition: job seekers must be comparable in terms of Z
- Statistical model:

$$Y = \tau G + \mu_0(Z) + \varepsilon, \quad E(\varepsilon|Z,G) = 0$$

where $\mu_0(Z)$ is allowed to be a flexible function.

ightharpoonup au is estimated using Double Machine Learning

Chernozhukov et al., 2018

Discussion

What is the origin of biases (in hirings / recommendations)?

- ▶ Job seekers' biases: when applying to a job, job seekers consider:
 - ► Chances of being hired → gendered under / over-confidence
 - ightharpoonup Utility if hired ightharpoonup gendered valuation of job characteristics, (occupation, wage, distance)
- Recruiters' biases

Relationship to fairness:

- ▶ So far, we have been speaking of biases in a statistical sense
- Reproducing recruiter biases is surely inadmissible wrt fairness
- If biases come from jobseekers, they may or may not be admissible depending on:
 - Origin: gendered job characteristic valuation vs over / under-confidence
 - ► Chosen normative stance: maximizing job seeker utility vs seeking to reduce labor market inequalities / gender stereotypes

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Recommendation performance

| Top k | Recall@k | Men | Women | p-value |
|-------|----------|-------|-------|---------|
| 10 | 0.256 | 0.243 | 0.267 | 0.000 |
| 20 | 0.351 | 0.333 | 0.366 | 0.000 |
| 100 | 0.590 | 0.576 | 0.603 | 0.000 |

Notes: Results on test set hires (n=41,787). Column "p-value" corresponds to a test of equality between columns "Men" and "Women".

- ► Recall **higher for women** than for men
- Difference is statistically significant
- Interpretation attempt: women's behavior may be easier to predict (mobility? risk aversion?)

Gender gaps in recommendations

| | Uncond. δ Full pop. | p-value | Uncond. δ Overlap | p-value | Cond. $	au$ | p-value |
|------------------------------|----------------------------|---------|-----------------------------|---------|-------------|---------|
| Wage (log) | -0.023 | 0.0 | -0.016 | 0.0 | -0.004 | 0.000 |
| Distance (km) | -0.474 | 0.0 | -0.231 | 0.0 | 0.400 | 0.000 |
| Executive | -0.004 | 0.0 | -0.009 | 0.0 | -0.002 | 0.032 |
| Long term contract | -0.040 | 0.0 | -0.034 | 0.0 | -0.014 | 0.000 |
| %Women < 20 | -0.411 | 0.0 | -0.219 | 0.0 | -0.033 | 0.000 |
| Hours worked per week | -2.934 | 0.0 | -1.957 | 0.0 | -0.381 | 0.000 |
| Fit to job search parameters | -0.028 | 0.0 | -0.019 | 0.0 | -0.011 | 0.000 |
| | | | | | | |

Notes: Results on all jobseekers on a test week. Col. 1: average gender gaps δ (n=358,682). Col. 3: gender gaps δ for comparable job seekers (n=234,145). Col. 5: gender gap τ controlling for Z on comparable job seekers.

- Women are, on average, recommended different jobs than men on all selected job characteristics
 - Less paid (2.3 percentage points), less often in executive status, in male-dominated occupations . . .
- ► The result also holds after controlling for job search fundamentals *Z*, with nevertheless reduced gaps
- ► In other words, the "unexplained" component of gender gaps is significantly different from 0

Comparison to application behavior

| | Difference | between | Difference of Differences | | | |
|--------------------------|------------------------|---------|---------------------------|---------|---------------------|---------|
| In applications | $	au_{App}$ (Observed) | p-value | au (MUSE) | p-value | $	au_{DifA}$ (MUSE) | p-value |
| Wage (log) | -0.012 | 0.000 | -0.011 | 0.000 | 0.002 | 0.559 |
| Distance (km) | -4.338 | 0.000 | 0.524 | 0.002 | 4.905 | 0.000 |
| Executive | -0.002 | 0.322 | -0.002 | 0.607 | 0.001 | 0.791 |
| Long term contract | -0.023 | 0.003 | -0.021 | 0.052 | 0.002 | 0.900 |
| %Women < 20 | -0.142 | 0.000 | -0.067 | 0.000 | 0.076 | 0.000 |
| Hours worked/week | -1.177 | 0.000 | -0.675 | 0.000 | 0.507 | 0.001 |
| Fit to job search param. | -0.029 | 0.000 | -0.025 | 0.000 | 0.007 | 0.156 |

Notes: Results on hired comparable job seekers for whom we observe applications (n=12,515). Col. 1: conditional gender gaps in applications). Col. 3: conditional gender gaps in recommendations. Col. 5: difference of differences, *i.e.*, conditional estimates for the differences between an application's characteristics and the recommendations.

- Gender gaps exist in applications
- ► The algorithm does not increase gender gaps, and reduces some of them (wage, occupation, working hours)
- ► Same results hold for hiring data

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Adversarial de-biasing: setup

- ► Goal: de-correlate recommendations from gender
- ▶ Algorithm's first tier (top-1,000 selection) taken as given
- ▶ Modify second tier, with adversarial loss:

$$L_{classif} - \lambda L_{adv}$$

where:

- ▶ $L_{classif}$: BCE loss predicting whether the pair i j is a hire
- $ightharpoonup L_{adv}$: BCE loss of adversary predicting *i*'s gender from the latent

Adversarial de-biasing: results

| | $\lambda = 0$ | p-value | $\lambda = 0.01$ | p-value | $\lambda = 1$ | p-value |
|------------------------------|---------------|---------|------------------|---------|---------------|---------|
| Performance indicators | | | | | | |
| R@20 | 0.351 | | 0.346 | | 0.335 | |
| R@20 (men) | 0.333 | | 0.329 | | 0.320 | |
| R@20 (women) | 0.366 | | 0.361 | | 0.348 | |
| Adversary's accuracy | | | 0.784 | | 0.530 | |
| Unconditional gaps | | | | | | |
| Wage (log) | -0.012 | 0.000 | -0.001 | 0.016 | -0.001 | 0.054 |
| Distance | 0.208 | 0.043 | 0.001 | 0.978 | 0.046 | 0.020 |
| Executive | -0.004 | 0.028 | -0.001 | 0.132 | -0.000 | 0.273 |
| Long term contract | -0.051 | 0.000 | -0.011 | 0.000 | -0.011 | 0.000 |
| %Women < 20 | -0.236 | 0.000 | -0.044 | 0.000 | -0.047 | 0.000 |
| Hours worked | -1.939 | 0.000 | -0.340 | 0.000 | -0.313 | 0.000 |
| Fit to job search parameters | -0.028 | 0.000 | -0.005 | 0.000 | -0.004 | 0.000 |
| Conditional gaps (DML) | | | | | | |
| Wage (log) | -0.005 | 0.014 | -0.001 | 0.035 | -0.001 | 0.110 |
| Distance | 0.542 | 0.000 | 0.059 | 0.016 | 0.100 | 0.000 |
| Executive | -0.002 | 0.319 | -0.001 | 0.177 | -0.001 | 0.052 |
| Long term contract | -0.027 | 0.000 | -0.005 | 0.001 | -0.006 | 0.000 |
| %Women < 20 | -0.058 | 0.000 | -0.009 | 0.000 | -0.012 | 0.000 |
| Hours worked | -0.695 | 0.000 | -0.103 | 0.000 | -0.132 | 0.000 |
| Fit to job search parameters | -0.022 | 0.000 | -0.003 | 0.000 | -0.003 | 0.000 |

Notes: Results on hired job seekers, for different weights λ . Recall and adversary accuracy are computed on the test set (n=41,787). Unconditional and conditional gaps are computed on comparable hired job seekers (n=25,783).

When λ increases:

- ▶ R@20 decreases (0.016 points from $\lambda = 0$ to $\lambda = 1$), esp. for women
- Adversary's accuracy drops (85% when $\lambda = 0.001$, 53% when $\lambda = 1$)
- Unconditional and conditional gender gaps are considerably reduced

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Conclusion

- Recall slightly higher for women than for men
- Gender gaps (conditioned to search fundamentals) exist in recommendations
 - Women's recommendations are on average paid less, proposed fewer working hours,less often secured by indefinite duration contracts, and less often in male-dominated occupations than men's
- Same / stronger differences are found in i) actual hiring behavior; ii) application behavior
- Adversarial de-biasing can considerably reduce gender gaps at the expense of recall

Perspectives

- ➤ Toward a multi-objective problem: optimize recall (making effective recommendations), comply with js' preferences (making desirable recommendations) and society's policy (reducing gaps)
- Required (PES; or EU regulations): specifications about gender gaps ('not worse than in actual data'; 'better')
- Caveat: recommendations must be "sufficiently close" to job seekers' search (possibly gendered) behavior in order to be considered
- ► Finding a decent trade-off requires the users' feedback: focus groups; A/B tests; else ?

Datasets used for the analysis

| | Sample size | Number men | Number women | % men |
|--------------------------------|-------------|------------|--------------|-------|
| Full week | 358,682 | 176,244 | 182,438 | 49.14 |
| Full week (overlap) | 234,145 | 110,103 | 124,042 | 47.02 |
| Hires | 41,787 | 19,496 | 22,291 | 46.66 |
| Hires (overlap) | 25,783 | 11,434 | 14,349 | 44.35 |
| Hires & Applications (overlap) | 12,515 | 5,517 | 6,998 | 44.08 |

Machine learning algorithm - job seeker features (in Z)

| Preferences | |
|---|---|
| Reservation wage (euros / hour) | numeric |
| The job seeker is looking for a full-time job | binary |
| Target job sector Target job | categorical (x14) categorical (x110) |
| Target type of contract | categorical (x13) |
| Maximum commuting time | numeric |
| Maximum (and Minimum) number of work hours per week | numeric |
| Qualifications | |
| Number of years of experience | numeric |
| Maximum level of qualification | categorical (x10) |
| Department | categorical (x13) |
| Vocational training field | categorical (x27) |
| Skills (SVD) | numeric (x50) |
| Driving licences | categorical (x22) |
| Number of languages spoken | numeric |
| Means of transportation | categorical (x5) |

Machine learning algorithm - job seeker features (not in Z)

| Socio-demographic variables | |
|---|--|
| Number of children Jobseeker lives in a QPV area | numeric numeric |
| Past employment history | |
| Number of unemployment periods in lifetime Reason why the job seeker registered at PES Type of accompaniment received from PES Main obstacles assumed to slow return to employment | numeric categorical (x15) categorical (x4) categorical (x4) |
| Resume | |
| Curriculum text (SVD) Number of words in the curriculum text Number of visit cards Number of sectors considered by the job seeker | numeric (x100) numeric numeric numeric |
| Geographic information | |
| Firm density within zip code Unemployment rate within zip code Latitude Longitude | numeric numeric numeric numeric |

Comparison to hiring and application behavior: full results

| In hirings | $\begin{array}{c} \textbf{Difference} \\ \tau_{Hire}(\mathit{Observed}) \end{array}$ | p-value | women and m τ (MUSE) | en p-value | Difference of D $\tau_{\rm DifH}$ (MUSE) | ifferences p-value |
|------------------------------|--|---------|---------------------------|---------------|--|-----------------------|
| Wage (log) | -0.010 | 0.000 | -0.005 | 0.014 | 0.004 | 0.099 |
| Distance (km) | -1.720 | 0.022 | 0.542 | 0.000 | 2.196 | 0.003 |
| Executive ` | -0.005 | 0.012 | -0.002 | 0.319 | 0.003 | 0.365 |
| Long term contract | -0.034 | 0.000 | -0.027 | 0.000 | 0.008 | 0.442 |
| %Women < 20 | -0.141 | 0.000 | -0.058 | 0.000 | 0.084 | 0.000 |
| Hours worked per week | -1.107 | 0.000 | -0.695 | 0.000 | 0.441 | 0.001 |
| Fit to job search parameters | -0.019 | 0.000 | -0.022 | 0.000 | -0.002 | 0.557 |
| In applications | $	au_{App}$ (Observed) | p-value | au (MUSE) | p-value | $	au_{DifA}$ (MUSE) | p-value |
| Wage (log) | -0.012 | 0.000 | -0.011 | 0.000 | 0.002 | 0.559 |
| Distance (km) | -4.338 | 0.000 | 0.524 | 0.002 | 4.905 | 0.000 |
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Notes: Top half: hired job seekers with sufficiently comparable characteristics (n=25,783); bottom half: subset of those for which we also observe applications (n=12,515). First column: conditional gender gaps on hirings (resp. applications). Third columns: conditional gender gaps in recommendations. Fifth column: difference of differences, i.e., the conditional estimates for the differences between a hire's characteristics (resp application's) and the recommendations.

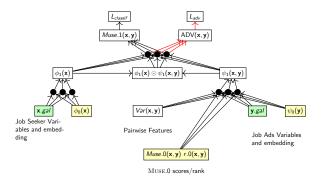
Adversarial de-biasing: setup details

- ► Goal: de-correlate recommendations from gender
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where:

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Adversarial de-biasing: full results

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|------------------------------|---------------|---------|-------------------|---------|------------------|---------|-----------------|---------|---------------|---------|
| Performance indicators | | | | | | | | | | |
| R@20 | 0.351 | | 0.346 | | 0.346 | | 0.342 | | 0.335 | |
| R@20 (men) | 0.333 | | 0.330 | | 0.329 | | 0.327 | | 0.320 | |
| R@20 (women) | 0.366 | | 0.360 | | 0.361 | | 0.356 | | 0.348 | |
| Adversary's accuracy | | | 0.850 | | 0.784 | | 0.573 | | 0.530 | |
| Unconditional gaps | | | | | | | | | | |
| Wage (log) | -0.012 | 0.000 | -0.001 | 0.033 | -0.001 | 0.016 | -0.001 | 0.166 | -0.001 | 0.054 |
| Distance | 0.208 | 0.043 | -0.003 | 0.882 | 0.001 | 0.978 | 0.040 | 0.050 | 0.046 | 0.020 |
| Executive | -0.004 | 0.028 | 0.001 | 0.121 | -0.001 | 0.132 | -0.000 | 0.440 | -0.000 | 0.273 |
| Long term contract | -0.051 | 0.000 | -0.011 | 0.000 | -0.011 | 0.000 | -0.012 | 0.000 | -0.011 | 0.000 |
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| Distance | 0.542 | 0.000 | 0.482 | 0.087 | 0.059 | 0.016 | 0.107 | 0.000 | 0.100 | 0.000 |
| Executive | -0.002 | 0.319 | -0.001 | 0.046 | -0.001 | 0.177 | -0.000 | 0.291 | -0.001 | 0.052 |
| Long term contract | -0.027 | 0.000 | -0.004 | 0.006 | -0.005 | 0.001 | -0.004 | 0.003 | -0.006 | 0.000 |
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| Hours worked | -0.695 | 0.000 | -0.105 | 0.000 | -0.103 | 0.000 | -0.111 | 0.000 | -0.132 | 0.000 |
| Fit to job search parameters | -0.022 | 0.000 | -0.003 | 0.000 | -0.003 | 0.000 | -0.003 | 0.000 | -0.003 | 0.000 |

Notes: Results on hired job seekers, for different weights λ given to the adversarial term. Recall and adversary accuracy are computed on the test set (all hired job seekers, n=41,787). Unconditional and conditional gaps are computed on the population of comparable hired job seekers (n=25,783). Unconditional gaps correspond to a difference in means between men and women.